



Tarpley & Underwood
Financial Advisors, LLC

FINANCIAL DOCUMENT LIST

- Most recent income tax return
- Recent pay stub
- A listing of assets and liabilities
- The amount of money you will save and invest within retirement plans this year.
- The amount of money you will save and invest outside of retirement plans this year
- Copies of the latest confirmation and/or monthly statements of any investments you own
- Insurance policies: life, disability, medical, long-term care, homeowners, auto, umbrella liability
- Wills, trusts, powers of attorney, if any
- Employee benefits information
- Your latest retirement plan statements, if applicable
- A listing of your retirement plan investment options, if applicable
- A listing of all family members, ages, official names, nicknames, grade in school, and health problems, if any
- Be prepared to talk about any obligations you might have with regard to other family members such as parents or grandparents now or in the future
- Include a list of any expected cash windfalls such as inheritances, gifts, business successes, bonuses, royalties, etc., if any
- A prioritized list of all financial goals: short-term (next 12 months), intermediate (12 months to 5 years), and long-term (example: retirement, college funding, debt reduction, new home or second home purchase, etc.)